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NOTICE OF ALLOWANCE AND FEE(S) DUE

34704

7590

06/18/2004

BACHMAN & LAPOINTE, P.C. 900 CHAPEL STREET **SUITE 1201** NEW HAVEN, CT 06510

EXAMINER

KOCZO JR, MICHAEL

ART UNIT

PAPER NUMBER

3746

DATE MAILED: 06/18/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/696.455 | 10/29/2003 | Kevin Allan Dooley | 01-776 | 6004 |

TITLE OF INVENTION: STANDING WAVE EXCITATION CAVITY FLUID PUMP

| ٢ | APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | |
|---|----------------|--------------|-----------|-----------------|------------------|------------|--|
| _ | nonprovisional | NO | \$1330 | \$300 | \$1630 | 09/20/2004 | |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

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BACHMAN & LAPOINTE, P.C. 900 CHAPEL STREET SUITE 1201 NEW HAVEN, CT 06510 Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name) (Signature) (Date)

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| EXAM | MINER | ART UN | IT | CLASS-SUBCLASS |] | | |
| KOCZO JR | R, MICHAEL | 3746 | | 417-053000 | _ | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). | | | | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single | | | |
| ☐ Change of correspond Address form PTO/SB/1 | lence address (or Change of C .22) attached. | Correspondence | firm (ha | ving as a member a registered | attorney or 2 | | |
| ☐ "Fee Address" indicat PTO/SB/47; Rev 03-02 Number is required. | ion (or "Fee Address" Indicator more recent) attached. Us | tion form e of a Customer | | nd the names of up to 2 regis s or agents. If no name is liste printed. | | | |

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

| Please check the appropriate assignee category or catego | ries (will not be printed on the patent); | ⊔ individual | ☐ corporation or other private group entity | ⊔ government | | |
|---|---|--|---|--------------|--|--|
| 4a. The following fee(s) are enclosed: | 4b. Payment of Fee(s): | | | | | |
| ☐ Issue Fee | ☐ A check in the am- | ount of the fee(s) | is enclosed. | | | |
| ☐ Publication Fee | ☐ Payment by credit | card. Form PTO- | 2038 is attached. | | | |
| ☐ Advance Order - # of Copies | U The Director is h Deposit Account Nur | s hereby authorized by charge the required fee(s), or credit any overpayment, to Number(enclose an extra copy of this form). | | | | |
| Director for Patents is requested to apply the Issue Fee a | nd Publication Fee (if any) or to re-apply | any previously p | aid issue fee to the application identified above | ÷. | | |
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| NOTE; The Issue Fee and Publication Fee (if require other than the applicant; a registered attorney or againterest as shown by the records of the United States Page 1988. | ent: or the assignee or other party in | | | | | |
| This collection of information is required by 37 CFR obtain or retain a benefit by the public which is to f application. Confidentiality is governed by 35 U.S.C. I cestimated to take 12 minutes to complete, including g completed application form to the USPTO. Time wi case. Any comments on the amount of time you suggestions for reducing this burden, should be sent Patent and Trademark Office, U.S. Department 22313-1450. DO NOT SEND FEES OR COMPLE SEND TO: Commissioner for Patents, Alexandria, Vir. | le (and by the USPTO to process) an 22 and 37 CFR 1.14. This collection is athering, preparing, and submitting the ll vary depending upon the individual require to complete this form and/or to the Chief Information Officer, U.S. of Commerce, Alexandria, Virginia TED FORMS TO THIS ADDRESS. ginia 22313-1450. | | | | | |
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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.